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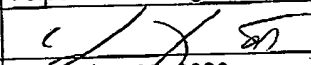
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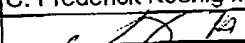
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PTO/SB/21 (6-98)
GROUP 8000Please type a plus sign (+) inside this box → ☐ +Approved for use through 09/30/2000. Q196031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/555,555	
	Filing Date	June 1, 2000	
	First Named Inventor	Braud et al.	
	Group Art Unit	5071	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	7	Attorney Docket Number	SHP-PT059

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Communication Re Refund Request (2 pgs.) w/Attachments (3 pgs.) Copy of postcard(1pg.).
Remarks ATTENTION: TAMALA HOLLAND (703-305-3230) Per the earlier conversation with John M. Olivo, Esquire of even date, faxed herewith is a Communication Re Refund Request with attachments as originally filed along with a copy of the date stamped post card showing the timely receipt of the Small Entity Statement.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	C. Frederick Koenig III, Esquire Volpe and Koenig, P.C.	
Signature		
Date	September 22, 2000	

CERTIFICATE OF TRANSMISSION			
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax # 703-305-3230) on this date:			
			September 22, 2000
Typed or printed name		C. Frederick Koenig III, Esquire	
Signature			
		Date	9/22/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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SEP 25 2000

GROUP 3600 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

	Braud et al.
Application No.:	09/555,555
Filing Date:	June 1, 2000
For:	HLA-E BINDING
Group:	5071
Examiner:	Not Yet Known

Our File: SHP-PT059

Date: August 15, 2000

COMMUNICATION RE REFUND REQUEST

Box 17
Commissioner for Patents
Washington, D.C. 20231

Sir:

This Communication is further to the Refund Request and Small Entity Statement which were filed on July 31, 2000 with respect to the above-identified application. It has come to Applicants' attention that the Refund Request contained an error as to the Application Number and Filing Date. The Small Entity Statement had, in fact, the correct patent application number, namely, 09/555,555. A copy of the Small Entity Statement and original Refund Request are attached hereto.

It is respectfully requested that Applicants' original Refund Request pursuant to 37 C.F.R. § 1.2(a) for a refund of Four Hundred and Twenty Dollars (\$420.00) for the Filing Fee be granted since a proper Small Entity Declaration was submitted with the Refund

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Applicant: Braud et al.
Application No.: 09/555,555

Request on July 31, 2000. Applicants' undersigned counsel sincerely apologizes for any inconvenience caused due to the error in the application number, but believe the requirements of 37 C.F.R. § 1.2(a) were substantively met by the Refund Request filed of July 31, 2000.

Please credit the refund to Deposit Account No. 22-0493 under our Order No. 972. Two copies of this Communication are enclosed. If there are any questions regarding this matter, please contact Applicants' undersigned attorney. Thank you very much for your attention to this matter.

Respectfully submitted,

Braud et al.

By 
C. Frederick Koenig III, Esquire
Registration No. 29,662
(215) 568-6400

Volpe and Koenig, P.C.
Suite 400, One Penn Center
1617 John F. Kennedy Boulevard
Philadelphia, PA 19103

CFK/fap
Enclosure

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27/07 '00 09:45 FAX 01179226009

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- ISIS INNOVATION

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 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.8(f) & 1.27(d))—NONPROFIT ORGANIZATION	Docket Number (Optional) SHP-PT058
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Applicant, Patentee, or Identifier: Braud et al
 Application or Patent No.: 09/555,555
 Filed or issued: Not Yet Known
 Title: HLA-E BINDING

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:
 NAME OF NONPROFIT ORGANIZATION: ISIS Innovation Limited of Oxford University
 ADDRESS OF NONPROFIT ORGANIZATION: Ewert House, Ewert Place, Summertown, Oxford OX2 7BZ
United Kingdom

TYPE OF NONPROFIT ORGANIZATION:
☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (28 U.S.C. 501(c) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(c) and 501(c)(3))
 IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.8(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.8(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.8(d) or a nonprofit organization under 37 CFR 1.8(e).

Each person, concern, or organization having any rights in the invention is listed below:

☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the basic fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.29(b))

Dr. T. Cook
 NAME OF PERSON SIGNING: Managing Director
 TITLE IN ORGANIZATION OF PERSON SIGNING: ISIS Innovation Ltd
 ADDRESS OF PERSON SIGNING: Ewert House Ewert Place Summertown, Oxford
OX2 7BZ UK
 SIGNATURE: T. Cook DATE: 28-7-00

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